



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eiji MORI et al.

Title:

ANTI-TRAIL-R ANTIBODIES

Appl. No.:

10/721,763

Filing Date:

11/26/2003

Examiner:

Unassigned

Art Unit:

Unassigned

UNDER 37 CFR §1.56

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date Jime 7, 2004

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Sheet

Substitute for form 1449B/PTS TRADEM Complete if Known 10/721,763 INFORMATION DISCLOSURE **Application Number** STATEMENT BY APPLICANT 11/26/2003 Filing Date **First Named Inventor** Eiji MORI Date Submitted: June 7, 2004 **Group Art Unit** Unassigned Unassigned (use as many sheets as necessary) **Examiner Name** Attorney Docket Number 081356-0207 1 of 1

U.S. PATENT DOCUMENTS						
Examiner Initials*		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A1	Japan 10-327878	Smithkline Beecham Corp.	12/15/1998		
	A2	WO 98/46643	Millennium Biotherapeutics Inc.	10/22/1998		
	A3	WO 98/51793	Genentech, Inc.	11/19/1998		
	A4	WO 98/35986	Immunex Corporation	8/20/1998		
	A5	WO 99/64461	Genentech Inc.	12/16/1999		
	A6	WO 02/079377	Human Genome Sciences, Inc.	10/10/2002		
	A7	WO 02/085946	Immunex Corporation	10/31/2002		
	A8	WO 01/19861 A2	Genentech Inc.	3/22/2001		
	A9	WO 03/042367 A2	Human Genome Sciences, Inc.	5/22/2003		
	A10	WO 98/41629	Human Genome Sciences, Inc.	9/24/1998		
	A11	WO 00/66156	Human Genome Sciences, Inc.	11/9/2000		
	A12	WO 03/038043 A2	UAB Research Foundation	5/8/2003		
	A13	WO 01/83560	UAB Research Foundation	11/8/2001		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	A14	Anan CHUNTHARAPAI et al., "Isotype-Dependent Inhibition of Tumor Growth in Vivo by Monoclonal Antibodies to Death Receptor 4", The Journal of Immunology, Vol. 166, pp. 4891-4898.			
	A15	Kimihisa ICHIKAWA et al., "Tumoricidal Activity of a Novel anti-human DR5 Monoclonal Antibody without Helptocyte Cytotoxicity", Nature Medicine, Volume 7, Number 8, August 2001, pp. 954-960.			
	A16	Thomas S. GRIFFITH et al., "Functional Analysis of TRAIL Receptors Using Monoclonal Antibodies", The Journal of Immunology, 1999, Volume 162: 2597-2605.			
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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